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**Faculty Doctoral Regulations for the School of Business and Economics
of the Faculty of Business, Economics, and Law
of Friedrich-Alexander-Universität Erlangen-Nürnberg (FAU)
(FPromO WW)**

Dated 21 January 2013

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I. Abschnitt: General Conditions

§ 1 Scope

¹These doctoral regulations (FPromO WW) supplement the General Doctoral Regulations of Friedrich-Alexander-Universität Erlangen-Nürnberg (RPromO) and are thus structured in a similar manner. ²Therefore some sections do not contain further provisions.

§ 2 Doctoral Degrees

§ 3 Doctoral Titles

§ 4 Bodies Responsible and Procedural Regulations

(1) ¹The body responsible for administering doctoral degrees is the Doctoral Affairs Committee. ²All full-time university lecturers at the School of Business and Economics belong to the Doctoral Affairs Committee. ³Professors on leave and retired professors according to Section 17 (1)(2), BayHSchG at the School of Business and Economics are eligible to vote at the sessions of the Doctoral Affairs Committee; these votes shall be considered in the calculation of the majority. ⁴The chairperson of the Doctoral Affairs Committee is the Dean of Research, the deputy is the school speaker. ⁵The bodies responsible can delegate individual tasks to the chairperson.

(2) The Examining Committee consists of:

1. In the case of a *Disputation*, three examiners appointed by the bodies responsible who are members of the Doctoral Affairs Committee or who are eligible to vote on the Doctoral Affairs Committee. Both reviewers of the thesis shall be appointed as examiners. The chairperson of the bodies responsible is entitled to participate as an examiner in the *Disputation*. The examiners shall elect a member from their peers as a chairperson who shall also take records of the examination.
2. In the case of a *Rigorosum*, one examiner shall be present for each examined subject with an additional invigilator appointed by the bodies responsible.

§ 5 Reviewers and Supervisors

(1) ¹Full-time university lecturers (Section 2 (3)(1), BayHSchPG) at FAU who are primary or secondary members of the School of Business and Economics and are authorised examiners for doctoral degrees. ²The same applies for persons named in Section 5 (2)(1)(2), RPromO. ³Full-time and part-time university lecturers who are primary or secondary members of the School of Business and Economics can apply to supervise doctoral degrees (Section 5 (2)(2),(3), RPromO). ⁴Section 18, RPromO, shall remain unaffected.

(2) In general, the supervisor of the doctoral proposal is also appointed as a reviewer.

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(3) At least one reviewer must be a full-time university lecturer at the School of Business and Economics.

II. Abschnitt: Admission to a Doctorate

§ 6 Admission Requirements

¹To be admitted to a doctorate, the candidate must provide evidence of one of the following degrees in a business or social science related subject within German jurisdiction:.

1. Diplom, Magister or Master's degree from a university or
2. Master's degree from a university of applied science

²The subject relevant to the doctoral degree must be worth at least 50 ECTS credits. ³At least 5 ECTS points must be from modules which are closely related to the topic of the thesis. The candidate must also provide evidence of modules in the following subject areas:

Business studies and economics

1. Modules from business studies and
2. Modules from economics

Social science

1. Modules from sociology and
2. Modules from business studies or economics or social sciences

⁴The final examination must be completed with the grade 'gut' (up to 2.5) ⁵Grade averages up to 3.0 without the assessment 'gut' may be considered by the bodies responsible on application from the candidate with a reference from an authorised supervisor from the Doctoral Affairs Committee.

§ 7 Doctoral Qualification Examination

(1) ¹On request, the following candidates who have studied a business or social science degree will be admitted to the doctoral qualification examination:

1. candidates who have completed a Diplom degree with at least 'sehr gut' at a university of applied science or
2. candidates who have demonstrated excellent performance in a Bachelor's degree

who have completed an assignment set by a professor of the School of Business Economics on a topic related to business and economics with at least the grade 'gut' over a minimum duration of three months.

(2) Suggestions for the subjects to be examined in the doctoral qualification examination shall be submitted with the application.

(3) ¹The doctoral qualification examination consists of participating in examinations from three subjects each amounting to one sub-discipline (10 ECTS credits) according to the Diplom or Master's examination regulations for business administration, economics, or social sciences at the FAU School of Business and Economics. ²The doctoral qualification examination must be sat four semesters at the latest after admission to a doctorate according to (1).

(4) ¹The doctoral qualification examination includes modules according to Section 6 (3).

²The modules according to Clause 1 are specified by the bodies responsible; the candidate has the right to suggest modules.

(5) The doctoral qualification examination is passed when all written assignments are completed and the average grade is at least 2.5.

§ 8 Admission to a Doctorate

(1) ¹If an application is made for an oral examination in the form of a *Rigorosum* (0) as an alternative to Section 12 (2)(1), a statement from the supervisor must also be submitted. ²It shall include a suggestion for the subjects to be examined.

(2) The CV according to Section 8 (2)(1), RPromO may also be submitted in English.

(3) ¹Admission may be approved subject to conditions. ²The bodies responsible shall specify which achievements provide evidence of a sufficient business or social sciences-related subject in the admissions confirmation letter.

III. Abschnitt: The Doctorate Procedure

§ 9 Initiation of the Doctorate Procedure

¹The following documents must also be submitted:

1. For the *Disputation*: Evidence of participation in a doctoral degree programme of 30 ECTS credits, achievements including participation in national and international conferences or professional training courses may be submitted by the supervisor for the approval of the bodies responsible. ²Achievements which were part of a previous examination may not be considered again.
2. For the *Rigorosum* according to 0 (1): Suggestions for the members of the Examining Committee.

³If the candidate can provide evidence of sufficient preparation in the subject at another university, the bodies responsible can recognise this achievement as such.

§ 10 Requirements for the Thesis

¹An agreement must be reached between the supervisor and the candidate on which monographs are required if the candidate submits several contributions (with a minimum of three) instead of a thesis. ²The candidate shall be the author of at least one monograph. ³At least two of the individual contributions shall have the potential to be published in a prestigious scientific journal, preferably at an international level.

§ 11 Evaluation, Acceptance and Refusal of the Thesis

(1) ¹The evaluation shall include a grade. ²The following grade scales shall apply:

summa cum laude (1.0)	=	an outstanding achievement
magna cum laude (2.0)	=	a commendable achievement
cum laude (3.0)	=	a good achievement
rite (4.0)	=	a satisfactory achievement.

³The grade of rejected work is:

non rite (5.0)	=	unsatisfactory
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⁴Intermediary grades are permitted at intervals of 0.25, the grades 0.75 and 4.25 cannot be awarded.

(2) Regardless of whether the individual contributions are published according to 0 or accepted for publication, the reviewers must assess whether the required quality level is met.

(3) The evaluations should be submitted at least five weeks before the next meeting of the

bodies responsible.

(4) The display period according to Section 11 (4)(1), RPromO is two weeks.

§ 12 Oral Examinations

(1) ¹After initiation of the procedure, the oral examination should take place before the next meeting or before one of the two following meetings of the bodies responsible on request. ²The meeting dates of the bodies responsible and the times of the oral examinations are specified by the bodies responsible. ³The oral examination shall be conducted in German insofar as no agreement has been reached by the Doctoral Affairs Committee in agreement with the examiners to conduct parts of the examination or the entire examination in English. ⁴The oral examination is usually held in the form of a *Disputation*, however, the candidate may apply for the oral examination to be held in the form of a *Rigorousum* with the supervisor's agreement.

(2) ¹The oral examination in the form of a *Disputation* covers the subject of the thesis and related disciplines. ²The oral examination demonstrates that the candidate is capable of scientific presentation, has in-depth knowledge of the subject of their thesis, is aware of all related areas of the subject and can support their research with links to related disciplines. ³The *Disputation* shall be opened with a 15-minute public presentation, in which the candidate reports the most important results of the thesis. ⁴This is followed by a non-public scientific discussion which derives from the presentation and covers interdisciplinary aspects; the *Disputation* should last between 45 and 90 minutes.

(3) ¹The oral examination in the form of a *Rigorousum* covers three specific subjects selected by the bodies responsible; the duration of the examination is approximately 30 minutes for every subject. ²The subjects of the examination are orientated towards the pursued subject of the doctoral degree according to 0 (3). ³Entitled parties of the Faculty may attend the *Rigorousum* as listeners.

§ 13 Resitting the Oral Examination

§ 14 Results of the Doctorate Procedure, Notification

(1) ¹In the *Rigorousum* according to 0 (3), the examiners award a grade according to 0 (1) in their subject. ²In the *Disputation* according to 0 (3), the Examining Committee award the grade for the oral examination according to 0 (1).

(2) ¹The overall result of the doctorate procedure is based on two thirds of the average grade of the thesis and one third of the average grade of the oral examination (*Rigorousum*) or the grade of the *Disputation*. ²The overall grade will be calculated from the arithmetic grade and be rounded to the nearest number. ³If this calculation results in an intermediary grade between two grades (1.5, 2.5, 3.5), the higher grade shall count.

§ 15

Publication of the Thesis and Submission of the Mandatory Copies of the Thesis

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§ 16 Completion of the Doctoral Degree

¹The doctoral degree certificate will be issued in German and Latin and confirms completion of the doctoral degree, the title of the thesis and the overall grade of the doctoral degree. ²The certificate is signed by the Dean of the Faculty. ³The candidate may request an additional certificate in English; any costs for this must be met by the candidate.

IV. Honorary Doctoral Degrees

§ 17 Honorary Doctoral Degrees

(1) At least two members of the bodies responsible must submit an evaluation for an honorary doctoral degree to be awarded.

(2) The certificate will be presented to the awardee at a presentation ceremony by the chairperson of the School of Business and Economics.

V. Interuniversity Co-operation

§ 18 Interuniversity Co-operation

VI. Doctoral Degrees in Co-operation with Foreign Universities

§ 19 General Information

§ 20 Examinations Procedure at FAU

§ 21 Examinations Procedure at the Partner Institution

§ 22 Joint Doctoral Degree Certificate

VII. Abschnitt: Invalidation and Revocation of Doctoral Degrees

§ 23 Invalidation of Doctoral Examinations

§ 24 Revocation of Doctoral Degrees

VIII. Abschnitt: Concluding Provisions

§ 25 Legal Validity and Transitory Provisions

¹These regulations come into effect on the day after their publication. ²They shall apply to all doctorate procedures submitted after this FPromO has come into effect.

(2) The doctoral regulations of the School of Business and Economics of Friedrich-Alexander-Universität Erlangen-Nürnberg (PromO FBWiWi) from 24 February 2010 shall no longer apply according to (3).

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(3) ¹All existing doctorate procedures initiated prior to the RPromO and FPromO shall be governed according to the Doctoral Regulations of the School of Business and Economics of Friedrich-Alexander-Universität Erlangen-Nürnberg (PromO FBWiWI) from 24 February 2010.
²Candidates whose doctorate procedures have already been admitted but are not yet initiated may choose whether these doctoral regulations or the regulations according to (2) shall apply. The candidate shall inform the Office of Doctoral Affairs by 31 March 2013 at the latest.

Published according to the resolution of the University Senate on 19 December 2012 and the President's authorisation on 21 January 2013.

Erlangen, 21 January 2013

Prof. Dr. Karl-Dieter Gröske
President

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